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PREPARATION GUIDE FOR REGULATORY EXAMINATIONS (RE 1 AND RE 5)

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There is only one right answer to each question, so please read the questions and answers carefully There is no negative marking; an answer is either right, or wrong You can choose to write exams electronically, or on paper Exam bodies will indicate on their ...

Regulatory Exam: 5 Description: RE 5: Regulatory ...

Regulatory Exam: 5 Description: RE 5: Regulatory Examination: Representatives in all Categories of FSPs Task No Task QC Qualifying Criteria Knowledge (K) or Skill (S) Legislation Reference 1 Demonstrate understanding of the FAIS Act as a regulatory framework 2 1 Describe the FAIS Act and subordinate legislation

Applicable for Examinations as from 1 February 2019

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RE 5 Self Study Guide - Representatives V5

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PREPARATION GUIDE FOR LEVEL 1 REGULATORY ...

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UPDATE OF REGULATORY EXAMINATIONS 1. INTRODUCTION

2 3 IMPLEMENTATION OF THE UPDATED QUESTIONS AND REGISTRATIONS FOR EXAMINATIONS A Current Version of RE1 and RE5 Persons already registered to write the regulatory examination BEFORE or on 29 March 2018, will still write the current version of the regulatory examination (without the updated questions included)

SECTION 4: FIRST LEVEL REGULATORY EXAMINATION ...

RE Exam 5 Section 4 1st Level Regulatory Examination Representatives Version 16a RESEARCH UNDERTAKEN While historical results are no indicator of future performance, Milpark researched results from numerous FAIS-related modules written with Milpark since 2006

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The assessment questions are intended to assist you in determining the extent to which you have integrated the required knowledge and whilst every effort has been made to provide RE5 Self Examination Preparation Guide for Representatives) Page ...

RE 1 - First Level Regulatory Examination - Applicants and ...

Page 2 of 13 RE 1 - FIRST LEVEL REGULATORY EXAMINATION - APPLICANTS AND/OR KEY INDIVIDUALS IN CATEGORY I, II, IIA AND III (1) The first level regulatory examination will be developed taking into account that applicants and key individuals are required to understand the FAIS Act, the FIC Act, and the subordinate legislation to the

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